

**Resolution No. (122) of 2022 Regarding Appendix 4 of Module Three
(Enforcement of the Law) of the Executive Bylaws**

Having Perused:

- Law No. 7 of 2010 Regarding the Establishment of the Capital Markets Authority and Regulating Securities Activities and its Executive Bylaws, and their amendments; and
- CMA Board of Commissioners Resolution passed in its meeting No. (00) passed in its meeting No. (27) of 2022 held on 27/07/2022.

The Following Was Resolved

Article (1)

Appendix 4 of Module Three (Enforcement of the Law) of the Executive Bylaws of the Law No. 7 of 2010 Regarding the Establishment of the Capital Markets Authority and Regulating Securities Activities and their amendments is hereby amended pursuant to Annex 1 attached to this Resolution.

Article (2)

The concerned bodies shall execute this Resolution, each within its jurisdiction. This Resolution shall come into force from the date of 31/07/2022, and it shall be published in the Official Gazette.

Prof. Ahmad Almelhem

Issued on: 01/08/2022

Annex 1

Appendix 4

Grievance Form against the Decision of the Exchange or the Violations Committee

NOTE:

All forms referenced in the “translation” of the Bylaws are for reference purposes only. Forms to be submitted to the Authority are to be in Arabic language only.

Grievance Form against the Decision of the Exchange or the Violations Committee in the Exchange

Grievant Data	
	Grievant name
	Profession
	Civil identification number or identification
	Address or chosen place
	Phone number
	Fax number
	Email
	Legal representative
	Power of Attorney number

	Address or chosen place
	Email
Data of the Grievance Decision	
	Decision No.
	Date of issuance of the decision
	Date of being notified with the decision
Reasons of Grievance	

Supporting Documents of the Grievance

Urgent Applications with Reasons

Acknowledgment and Pledge

I, the undersigned, acknowledge that all the data in this form and attachments are correct and in accordance to reality and on my personal responsibility.

	Name
	Signature
	Date

Conditions to accept the application:

1. Attach a copy of the decision for which the grievance is submitted.
2. Filling in the data required in the form.
3. Attaching documents that support the grievance.
4. Attaching proof of the grievant capacity.

Note:

- In the event that any statement or any of the conditions for accepting the grievance is missing, it would not be possible to accept the grievance request.